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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Budd et al. Atty. Dkt. No.: 1974.003
Serial No.: 09/973,476 Group Art Unit: 1644
Filed: October 9, 2001 Examiner: Belyavskyi, Michail A.
Title: CASPASE INHIBITORS FOR INHIBITING BLOOD CELL PROLIFERATION
AND FOR TREATING AUTOIMMUNE DISEASES

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, VA 22202, on March 6, 2003.

Kathy Smith Dias
Attorney for Applicants
Reg. No. 41,707

Date of Signature: March 6, 2003

U. S. Patent and Trademark Office
Box Sequence
P.O. Box 2327
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SUBMISSION OF "SEQUENCE LISTING" UNDER 37 CFR 1.821(c) and (e)

Dear Sir:

This is in response to a Notice to Comply With Requirements For Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures mailed January 8, 2003. Response is due by March 8, 2003; this response is, therefore, timely filed.

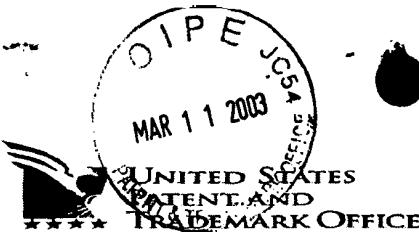
Enclosed are (1) a copy of the Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures; (2) a computer readable copy of the Sequence Listing for the above-identified application; and (3) a paper copy of the Sequence Listing; (4) an amendment directing its entry into the specification; and (5) a statement that the computer readable copy and paper copy of the sequence listing are the same.

Respectfully submitted,

Kathy Smith Dias
Attorney for Applicants
Reg. No. 41,707

Date: March 6, 2003

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Commissioner for Patents
Washington, DC 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/973,476	10/09/2001	Ralph C. Budd	1974.003

CONFIRMATION NO. 5318

FORMALITIES LETTER



OC000000009341586

Candice J. Clement
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Albany, NY 12203

Date Mailed: 01/08/2003

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Filing Date Granted

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
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*A copy of this notice **MUST** be returned with the reply.*

nm

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PART 2 - COPY TO BE RETURNED WITH RESPONSE